

CHAPTER I

INTRODUCTION

This chapter consists of five sub chapters, they are: background of the study, statement of the research problems, objectives of the study, significances of the study, and scope and limitation of the study.

1.1 Background of the Study

Language has the main function of communication. This condition is caused by the fact that language gives human way to express their feeling. For communication also, language can be a tool of socialization and for expressing ideas. This instrument of socialization can be found in the form of sounds, signs, and also in written symbols. It consists of a word or a group of words which have meaning.

Language and literary works are very close. This closeness can be shown by the existence of all literary works which deliver the message, the story, feelings, and emotions through language from every single part of these works. Literary works are commonly divided into prose, novel, short story, poetry, and play or drama. The application of language matters include to reference which can easily be found in those type of literary works. Nowadays, the development of literary works which has a close relation to linguistics is sophisticated. There are so many literary works which are very appropriate and also interesting to be analysed using linguistics approaches. By using those linguistics approaches, the data could be found in novel for instance as the writer mentioned before.

One of the famous authors is J.K. Rowling. She was born on July 31, 1965. This British author is the creator of the widely successful *Harry Potter* novel series. Rowling had written all of the series which consist of seven novels. But in this thesis, one of Rowling's famous novel entitled *Harry Potter and the Half-Blood Prince* is chosen by the writer. This novel is the sixth of novel *Harry Potter* series.

Harry Potter novels which was written by J.K. Rowling consist of seven series. The first novel of Harry Potter tells about the Harry's birthday and his first coming to Hogwarts whose headmaster is Albus Dumbledore. It also tells the first meeting between Harry Potter and his friend namely Ron Weasley and Hermione. The previous series are explaining about Harry's life in Hogwarts who are surrounded by his best friends. They also tells about the struggle in fighting Lord Voldemort helped by Albus Dumbledore.

But in this sixth series which is chosen by the writer has different situation than the previous novels. In this novel, the most important person for Harry Potter as the main character is killed by Professor Snape. Professor Snape is a professor of Hogwarts whom Harry hate most since he acts as a person who hate Harry's parent, especially his father. Because of the death of Albus Dumbledore, Harry feels so sad in a very deep sorrow.

Moreover, the Rowling's novel which is published by Arthur A. Levine Book is a popular novel. It is not only popular in London which was published by Bloomsbury but also throughout the world. Because of the popularity, this sixth series of *Harry Potter* included to a best seller book for the whole era. This novel

which is bestseller contains many references so that this precious novel is analysed by the writer using the theory of reference.

Reference (Yule, 1996) has types, such as: anaphora, cataphora, and ellipsis. Anaphora and cataphora are reference which are determined by the position of antecedent and reference or referring expression. Furthermore, there is also another type namely ellipsis which is different from anaphora and cataphora. The process of this one is by omitting a word or more words but the hearer still understand what the speaker intended to say. This way is commonly called as zero anaphora.

Analysing reference is very interesting because by knowing this theory, people could get more comprehension to the reference. Analysing the novel of *Harry Potter and the Half-Blood Prince* using reference is the most appropriate one and also interesting. It is caused by the condition that there are so many narration and some utterances of characters containing reference. The writer of this novel is popular throughout the world so that the reader know on how the J.K. Rowling applied reference in her novel. Moreover, this novel is very famous and interesting to be read. Finding the data and then analysing them using anaphora, cataphora, and zero anaphora are very important to find the intended meaning of the speaker. Pragmatics approach is the approach that is chosen by the writer.

In Rowling's novel entitled *Harry Potter and the Half-Blood Prince*, there are so many examples of anaphora which were stated. This is one of them.

Example of anaphora

It was nearing midnight and the **Prime Minister** was sitting alone in **his** office, reading a long memo that was slipping through **his** brain without leaving the slightest trace of meaning behind. (Page 8)

From the data above, it is clarified that it is an anaphora. There is one antecedent and two anaphoric reference. The antecedent above is the Prime Minister. The prime minister as the antecedent has two reference. Those two reference are “his”. “His”, a reference which is include to pronoun, is the reference. The antecedent stated earlier than the reference. Therefore, this data include to anaphora. Because of the reader’s the way finding the antecedent is by looking or reading back to the previous words, this example is called as anaphora. The next example is the example of cataphora.

Example of cataphora

The more **he** attempted to focus on the print on the print on the page before **him**, the more clearly the **Prime Minister**.

From the data above, it is clarified that it is a cataphora. There is one antecedent and two reference. The antecedent above is the Prime Minister. The prime minister as the antecedent has two references. Those two reference are stated by “he” and “him”. “He” is reference which includes to pronoun is the reference. Also, “him” is an objective pronoun which refers to the Prime minister. The antecedent stated later than the reference. It means that the reader’s way finding the reference is by looking or reading forward to the next sentences or words. Therefore, this data included to cataphora.

The next is the last example of the last type of anaphoric reference namely zero anaphora or ellipsis.

Example 1 of Zero anaphora or ellipsis

I bet there **has**’he said.

In this utterance, Harry said ‘I bet there has’. This utterance appeared when Harry Potter made a conversation with Dumbledore, the headmaster of Hogwarts. They

were talking about Harry Potter was the descendant of Harry's family. Harry Potter is the relation of Sirius Black. Dumbledore argued that in the house he would have some enchantment or which has upon the place to ensure the spell is not known by any one. "There" in this example refers to the house which has some spell or enchantment. But because it is the example of ellipsis, "there" is not the example. The example is 'has'. The referring expression which is missing is the object after 'has'. Harry Potter omitted a group of words. Those words refer to the some spell or enchantment. Even Harry had omitted them, Dumbledore had caught what Harry wanted to clarify so that this utterance is called as ellipsis or zero anaphora.

From these examples, readers of the novel can clarify the antecedent or the object, or referent if they understand the references. According to Yule (1996), reference is an act in which a speaker, or the writer, uses linguistic forms to enable the listener or reader, to identify something. Therefore, it is hard to understand which the reference refers to without applying this theory. Moreover, identifying something must be correct using a good interpretation and reference has a great role on it.

Based on that fact, the theory of reference is a theory which frequently exists in daily conversation and also in reading materials, they are: novel, magazine, poetry, and even in manga. Related to the existence of reference in conversation, reference occurs in common daily conversation between two people or more, reference exists. It is impossible that one of the speaker says the same antecedent in the whole conversation. When people are talking about badminton for instance, it is impossible that those speakers say exactly the same antecedent namely

badminton in the whole conversation. It can be boring and flat too. It is one of the example why the reference is needed.

In this novel also occurs the same thing. J.K. Rowling who is the author of the sequel of Harry Potter novels and one of them entitled *Harry Potter and the Half-Blood Prince* also used reference. She did not write exactly the same antecedent in the whole of *Harry Potter* story. For instance, even the subject is only Harry, but J.K. Rowling wrote this main character by “he” and many other references.

So, there are reasons why this study should be conducted using the theory of reference in the J.K. Rowling’s novel *Harry Potter and the Half-Blood Prince*. First of all, the novel of *Harry Potter and the Half-Blood Prince* has so many utterances containing the reference so that make this novel is pretty appropriate and interesting to be analysed. Moreover, J.K. Rowling novel which is published by Arthur A. Levine Book is a popular book. It is not only popular in Indonesia but also throughout the world.

The second one, by conducting this study, the writer also can cover the frequently appeared type of reference applied by a famous writer called J.K. Rowling. After knowing the frequently appeared of reference types, the reader can imitate how J.K. Rowling as one of famous author applying reference in making a good novel or other compositions. But last not the least, the type of reference which is frequently appeared in this novel may have relation why the author applied this type as the frequently appeared type of reference in her novel. Based on these reasons, this study is very important to be conducted by the writer.

But last not the least, before conducting this study, there have been a number of valuable studies of reference, and also other studies which used *Harry Potter and the Half-Blood Prince* as the source of data. They are *Discourse Anaphora: Four Theoretical Models** by Huang in 2000, *Anaphoric Reference to Entities and Places in Literal and Metaphorical Contexts* by Mackenzie in 2008, *The Effect of Anaphoric references and Noun Phrases Organizers on Paragraph Comprehension* by Fishman in 1978, and *An Analysis of Presupposition as Found in a Novel Harry Potter and the Half Blood Prince* by Yohana in 2011. However, none of those studies which provide the reference as the theory in analysing J.K. Rowling's novel entitled *Harry Potter and the Half Blood Prince*. Therefore, this study entitled *An Analysis of Reference in J.K. Rowling's Novel: Harry Potter and the Half Blood Prince* is needed to be conducted.

1.2 Statement of the Research Problems

Related to the description of the background of the study above, the writer investigated the following problems:

1. What are the types of reference applied in J.K. Rowling's Novel entitled *Harry Potter and the Half-Blood Prince*?
2. What type of reference frequently appeared in J.K. Rowling's novel entitled *Harry Potter and the Half-Blood Prince*?
3. In what extent the frequently appeared type of reference applied to the novel *Harry Potter and the Half-Blood Prince*?

1.3 Objectives of the Study

Related to the statement of the research problem, this study intended to:

1. To explain the types of reference applied in J.K. Rowling's Novel entitled *Harry Potter and the Half-Blood Prince*.
2. To clarify the type of reference frequently appeared in J.K. Rowling's novel entitled *Harry Potter and the Half-Blood Prince*.
3. To find and explain the extent of the author applied the frequently appeared type of the reference to the novel entitled *Harry Potter and the Half Blood Prince*.

1.4 Significances of the Study

The significances of the study are to give contributions both theoretically and practically in pragmatics especially in reference. Theoretically, the findings of the research are expected to be one of references and alternative information in the next reference researchers. This research could enrich the theoretical framework for pragmatics study, especially reference from different phenomenon and object which can be taken as a study by the students of English Study Program of Trunojoyo University Madura who take linguistics as their concentrationn.

But last not the least, the practical significance also exists in this study. This study is useful for those who are interested in writing and public speaking, especially for freshmen, writer, a speaker, or even comics since they pay attention in comprehending the anaphora and applying anaphora in speaking and writing. The reader also can imitates how a famous author named J.K. Rowling applied

reference in her novel entitled *Harry Potter and the Half Blood Prince*. Moreover, it can ill make one's writing more colourful, less flat, and easy to be understood.

1.5 Scope and Limitation

The scope of this study is a pragmatics study. This thesis only focuses study reference. The reference is divided into anaphoric reference, cataphoric reference, and zero anaphora. The limitation is all of the utterances which are produced by the main character only namely Harry Potter in J.K. Rowling's Novel entitled *Harry Potter and the Half-Blood Prince* from chapter one to the last chapter or chapter thirty.